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DM-10/2003

ATTORNEY DOCKET NO.: KCX-859 (19100)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: MacDonald, et al.

Serial No: 10/731,256

Filed: December 9, 2003

Confirmation No: 4720

For: Triggerable Delivery System For Pharmaceutical  
And Nutritional Compounds and Methods of  
Utilizing Same) Examiner: Unknown  
)  
) Group Art Unit: 1616  
)  
) Customer ID: 22827  
)  
) Deposit Account No: 04-1403  
)  
)  
)Commissioner for Patents  
United State Patent and Trademark Office  
Post Office Box 1450  
Alexandria, VA 22313-1450LETTER

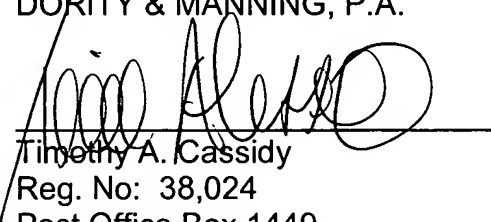
Dear Sir:

Applicant respectfully submits a Change of Correspondence Address and Executed  
Power of Attorney/Agent (37 CFR 1.34) for the above-captioned application.

Please charge any fees required by this submission to Deposit Account No. 04-1403.

Respectfully submitted,

DORITY &amp; MANNING, P.A.

Date: August 19, 2004  
Timothy A. Cassidy

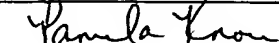
Reg. No: 38,024

Post Office Box 1449

Greenville, SC 29602 USA

Telephone: (864) 271-1592

Facsimile: (864) 233-7342

I hereby certify that this correspondence is  
being deposited with the United States Postal  
Service as first class mail in an envelope  
addressed to: Commissioner of Patents,  
P. O. Box 1450, Alexandria, VA 22313-1450 onAugust 19, 2004Pamela Knorr



PTO/SB/122 (06-03)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**CHANGE OF  
CORRESPONDENCE ADDRESS**  
*Application*Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450.

Application Number	10/731,256
Filing Date	December 9, 2003
First Named Inventor	John Gavin MacDonald
Art Unit	1616
Examiner Name	Unknown
Attorney Docket Number	KCX-859 (19100)

Please change the Correspondence Address for the above-identified patent application to:

☒ Customer Number : 

OR

☒ Firm or Individual Name **DORITY & MANNING, P.A.**Address **P.O. Box 1449**

Address

City **Greenville** State **SC** Zip **29602**Country **United States**Telephone **864-271-1592** Fax **864-233-7342**

This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).

I am the:

- ☐ Applicant/Inventor
- ☐ Assignee of record of the entire interest.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- ☒ Attorney or Agent of record. Registration Number 38,024
- ☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number \_\_\_\_\_

Typed or Printed Name **Timothy A. Cassidy**Signature Date **August 19, 2004**Telephone **864-271-1592**

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Attorney Ref.: KCX-859 (19100)

**CERTIFICATE UNDER 37 C.F.R. 3.73(b)**

Applicants: MacDonald et al.

Application No.: 10/731,256 Filed: December 9, 2003

Entitled: TRIGGERABLE DELIVERY SYSTEM FOR PHARMACEUTICAL AND NUTRITIONAL COMPOUNDS AND METHODS OF UTILIZING SAME

Kimberly-Clark Worldwide, Inc., a Corporation  
(Name of Assignee) (Type of Assignee, e.g. corporation,  
partnership, university, government agency, etc.)

states that it is

1. ☒ the assignee of the entire right, title and interest; or

2. ☐ an assignee of an undivided part interest

in the application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_ or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark  
Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark  
Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

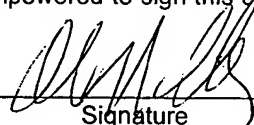
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark  
Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this certificate on behalf of the assignee.

8/3/04  
Date

  
Signature

Douglas L. Miller  
Typed or printed name

Agent-In-Fact  
Title



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: MacDonald, et al.

Docket No.: KCX-859 (19100)

U.S. Serial No.: 10/731,256

Group Art Unit: 1616

U.S. Filing Date: December 9, 2003

Examiner: Unknown

For: Triggerable Delivery System for  
Pharmaceutical and Nutritional  
Compounds and Methods of  
Utilizing Same

**REVOCATION OF POWER OF ATTORNEY AND**  
**APPOINTMENT OF NEW ATTORNEY**

Commissioner for Patents and Trademarks  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant hereby revokes all previous powers of attorney and appoints:

Robert A. Ambrose, Reg. No. 51231; David J. Arteman, Reg. No. 44512; Scott A. Baum, Reg. No. 51237; Michael J. Bendel, Reg. No. 39605; John L. Brodersen, Reg. No. 51236; Jonelle R. Burnham, Reg. No. 41980; Patricia A. Charlier, Reg. No. 38840; Thomas J. Connelly, Reg. No. 28404; Gregory E. Croft, Reg. No. 27542; Jeffrey B. Curtin, Reg. No. 37601; Ralph H. Dean, Reg. No. 41550; Alyssa A. Dudkowski, Reg. No. 40596; Randall W. Fieldhack, Reg. No. 43611; Steven D. Flack, Reg. No. 40608; Thomas M. Gage, Reg. No. 33385; Scott B. Garrison, Reg. No. 39198; Joseph P. Harps, Reg. No. 28854; Nathan P. Hendon, Reg. No. 55848; William D. Herrick, Reg. No. 25468; Kyle K. Kappes, Reg. No. 34846; David J. Klann, Reg. No. 46833; Nancy M. Klembus, Reg. No. 40051; H. Michael Kubicki, Reg. No. 51235; Vincent T. Kung, Reg. No. 45797; Christos S. Kyriakou, Reg. No. 42776; Nicholas N. Leach, Reg. No. 31776; William W. Letson, Reg. No. 42797; Thomas J. Mielke, Reg. No. 31399; Douglas L. Miller, Reg. No. 30406; Sebastian C. Pugilese III, Reg. No. 42091; James B. Robinson, Reg. No. 34912; Bryan R. Rosiejka, Reg. No. 55583; Richard M. Shane, Reg. No. 50921; Karl V. Sidor, Reg. No. 32597; Douglas H. Tulley, Jr., Reg. No. 34743; Sue C. Watson, Reg. No. 38850; Patrick C. Wilson, Reg. No. 31893; and Paul Y. Yee, Reg. No. 29460; Wellington M. Manning, Jr., Reg. No. 22376; Julian W. Dority, Reg. No. 20268; James M. Bagarazzi, Reg. No. 29609;

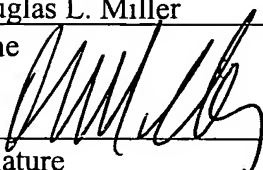
Richard M. Moose, Reg. No. 31226; Stephen E. Bondura, Reg. No. 35070; Timothy A. Cassidy, Reg. No. 38024; Jeffrey M. Karmilovich, Reg. No. 35915; Tim F. Williams, Reg. No. 47178; Timothy D. St. Clair, Reg. No. 48316; Jason W. Johnston, Reg. No. 45675; Neal P. Pierotti, Reg. No. 45716; Bernard S. Klosowski, Jr., Reg. No. 47710; Steven R. LeBlanc, Reg. No. 47740; David M. Sigmon, Reg. No. 52224; Tara E. Agnew, Reg. No. 50589; Neil M. Batavia, Reg. No. 54599; Christina L. Mangelsen, Reg. No. 50244; Harry E. Moose, Jr., Reg. No. 51277; Jennifer L. Ulsh, Reg. No. 52312 to prosecute the above identified patent application and to transact all business in the Patent and Trademark Office in connection therewith, and to receive the certificate of registration. The required Certificate Under 37 C.F. R. Section 3.73(b) is enclosed herewith.

Please direct all further correspondence to:

Dority & Manning, P.A.  
P.O. Box 1449  
Greenville, South Carolina 29602  
(864) 271-1592  
(864) 233-7342 facsimile

Respectfully submitted,

KIMBERLY-CLARK CORPORATION  
401 North Lake Street  
Neenah, Wisconsin 54957

Douglas L. Miller  
Name  
x   
Signature

x 8/3/04  
Date

Title: Agent-in-Fact

FORM PTO 1540  
1-31-92

Case 19100



U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

RECORDATION FORM COVER SHEET  
PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

John G. MacDonald; Jason Lye

2. Name and address of receiving party(ies):

Name: Kimberly-Clark Worldwide, Inc.  
Internal Address: 401 North Lake Street  
Neenah, Wisconsin 54956  
Street Address: 401 North Lake Street  
City Neenah State Wisconsin Zip 54956

Additional name(s) of conveying party(ies)  
attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Additional name(s) & address(es) attached?

☐ Yes ☒ No

Execution Date: Parties 1 and 2 - 12/9/03

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 12/9/03

A. Patent Application No.(s)

Additional numbers attached? ☐ Yes ☒ No

B. Patent No.(s)

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Steven D. Flack

Internal Address: Kimberly-Clark Worldwide, Inc.

401 North Lake Street

Neenah, Wisconsin 54956

Street Address: 401 North Lake Street

City: Neenah State: Wisconsin ZIP: 54956

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account.

8. Deposit account number: 11-0875

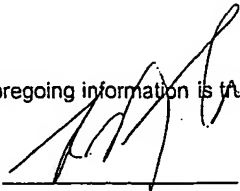
DO NOT USE THIS SPACE

9. Statement and signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Steven D. Flack

Name of Person Signing

  
Signature

12/9/03  
Date

Total number of pages including cover sheet, attachments and document: 3

**In the United States Patent and Trademark Office**

Applicants: John Gavin MacDonald  
Jason Lye

Docket No.: 19100

Filed: December 9, 2003

For: **TRIGGERABLE DELIVERY SYSTEM FOR PHARMACEUTICAL AND NUTRITIONAL COMPOUNDS AND METHODS OF UTILIZING SAME**

Express Mail Label No.: EV 064 853 681 US

## Assignment – Joint Inventors

**Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Sir:

WHEREAS John Gavin MacDonald residing at 1472 Knollwood Terrace, Decatur, Georgia 30033 and Jason Lye residing at 799 Kirkwood Ave SE, Atlanta, Georgia 30316 (hereinafter collectively referred to as Assignors), have made an invention and have each either (1) previously executed an application for Letters Patent of the United States of America therefor or (2) are contemporaneously executing an application for Letters Patent of the United States of America for the invention which is entitled:

# TRIGGERABLE DELIVERY SYSTEM FOR PHARMACEUTICAL AND NUTRITIONAL COMPOUNDS AND METHODS OF UTILIZING SAME

AND WHEREAS Kimberly-Clark Worldwide, Inc., a corporation of the State of Delaware, having offices at 401 North Lake Street, Neenah, Wisconsin 54956, United States of America, (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said invention and under said Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

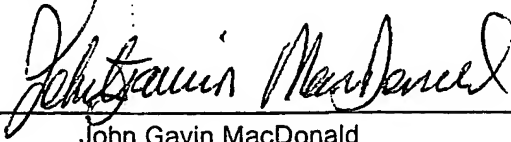
NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by the Assignee to the Assignors of the sum of One U.S. Dollar (\$1.00 U.S.) and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Assignors hereby sell, assign and transfer to the Assignee the full and exclusive right, title and interest to said invention and in and to any and all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, continuation-in-part, divisional, renewal, substitute, re-examination, conversion or reissue thereof, including all extensions thereof, or any legal equivalent thereof in any foreign country for the full term or terms for which the same may be granted, including any and all convention rights.

The Assignors hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale.

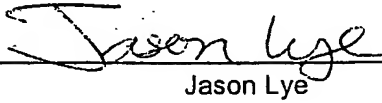
The Assignors further covenant that the Assignors will promptly provide, upon written request, Assignee with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to the Assignors and that they will promptly execute and deliver to Assignee or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue, extend and enforce said application, said invention and said Letters Patent

and said equivalents thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, the Assignors have executed this document on the date indicated below:

  
\_\_\_\_\_  
John Gavin MacDonald

Date: Dec. 9<sup>th</sup>, 2003

  
\_\_\_\_\_  
Jason Lye

Date: Dec 9<sup>th</sup>, 2003